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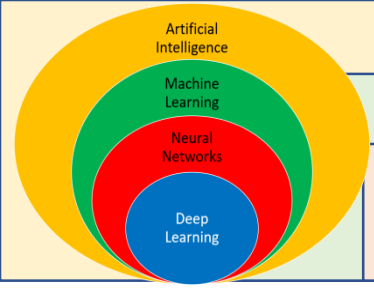
Deep Learning (DL)

# Advanced Artificial Intelligence

Dr. Rastgoo

2022





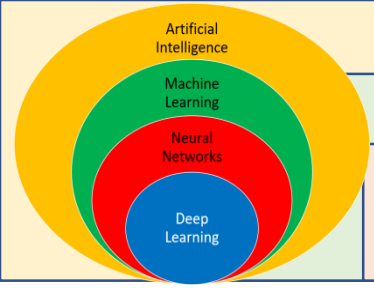
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# Generative models



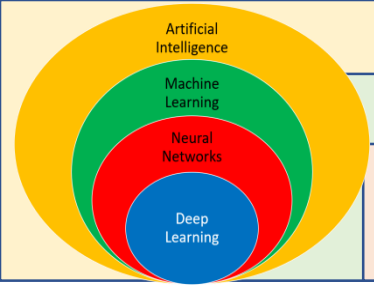
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# Part 2: Generative Adversarial Network (GAN)



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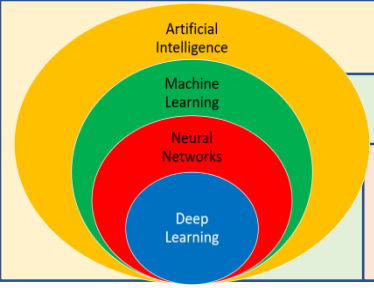
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## Introduction

- GANs introduce the concept of **adversarial learning**, as they lie in the rivalry between two neural networks.
- These techniques have enabled researchers to create **realistic-looking** but entirely computer generated photos of people's faces.
- They have also allowed the creation of controversial “deepfake” videos.
- Actually, GANs can be used to **imitate** any **data distribution** (image, text, sound, etc.).



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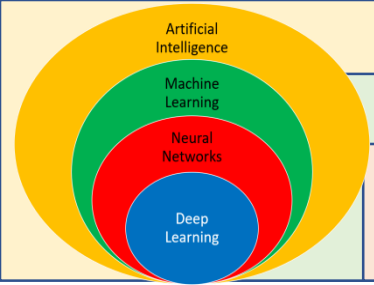
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- An example of GANs' results from 2018 is given in below figure (Figure 1).
- These images are fake yet very realistic.
- The generation of these fictional celebrity portraits, from the database of real portraits Celeba-HQ composed of 30,000 images, took 19 days. The generated images have a size of  $1024 \times 1024$ .



Figure 1





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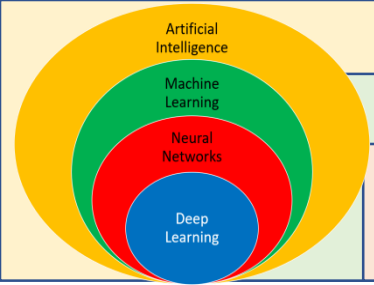
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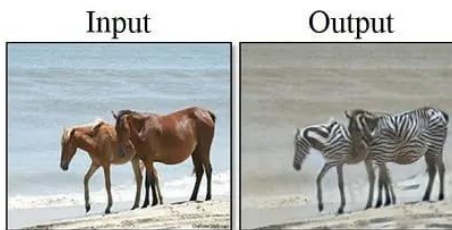
# Introduction



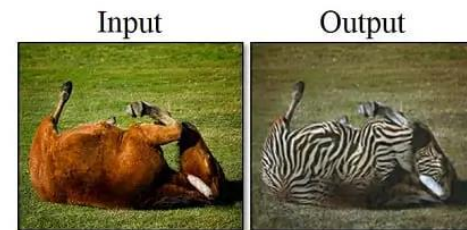




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horse → zebra



zebra → horse



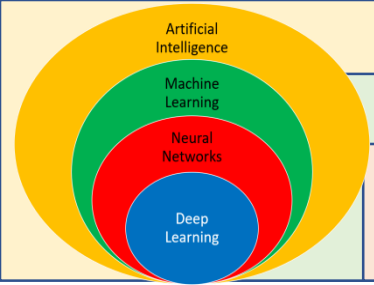
apple → orange



orange → apple







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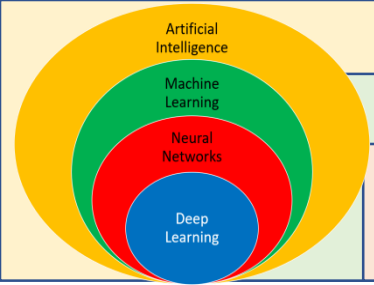
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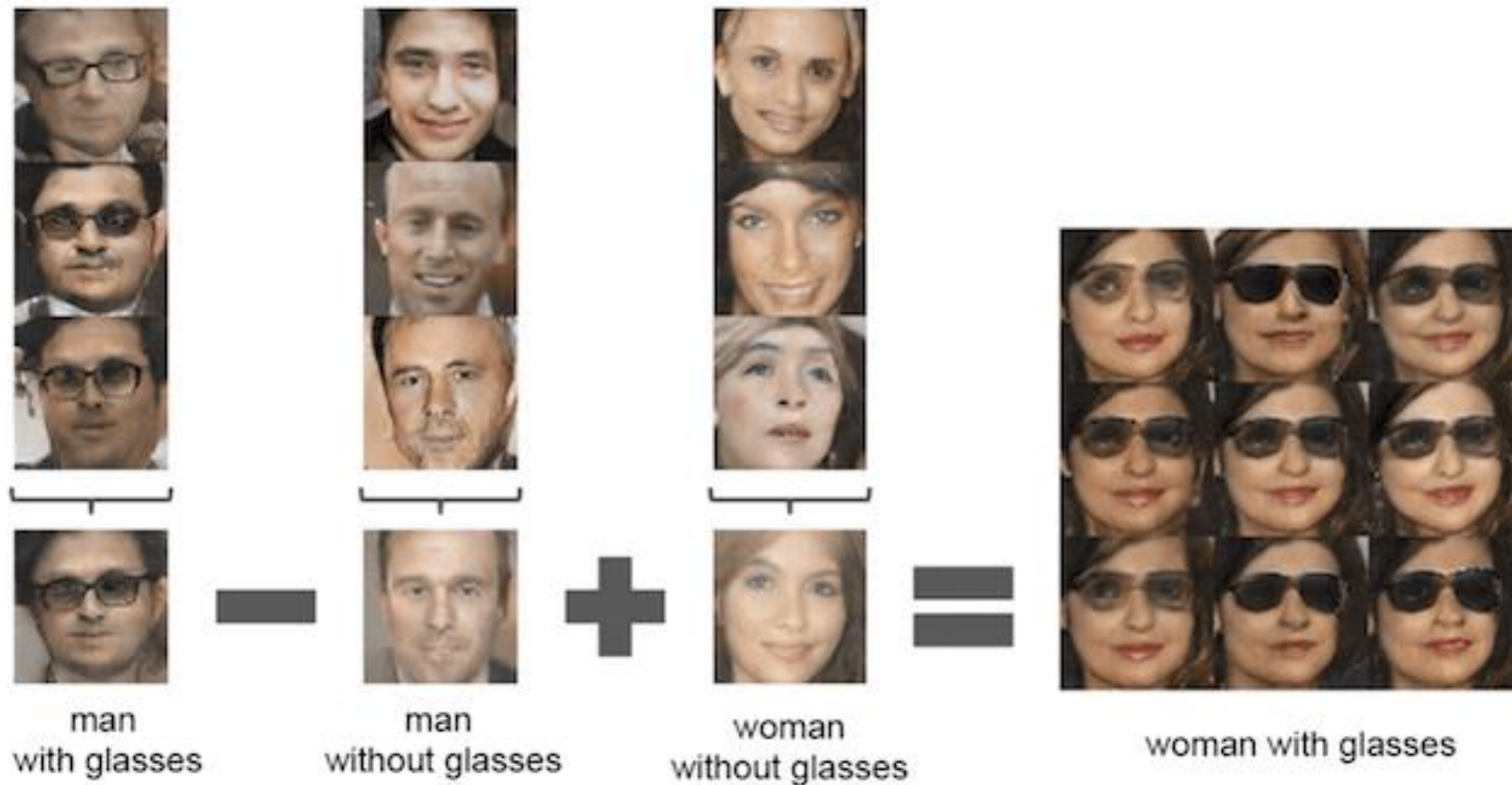
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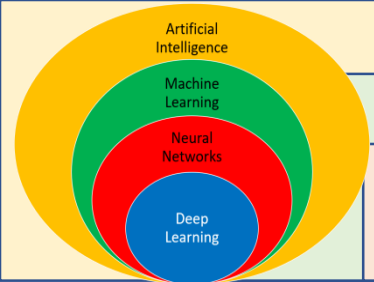
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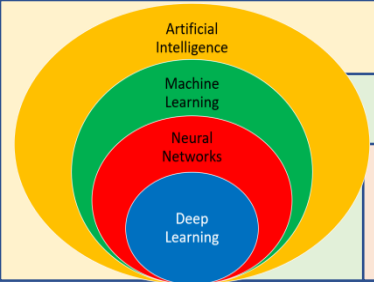
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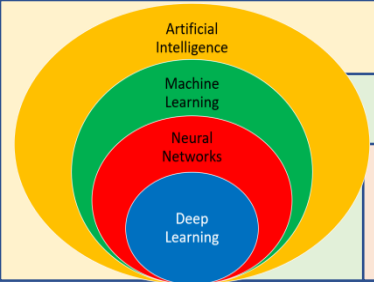




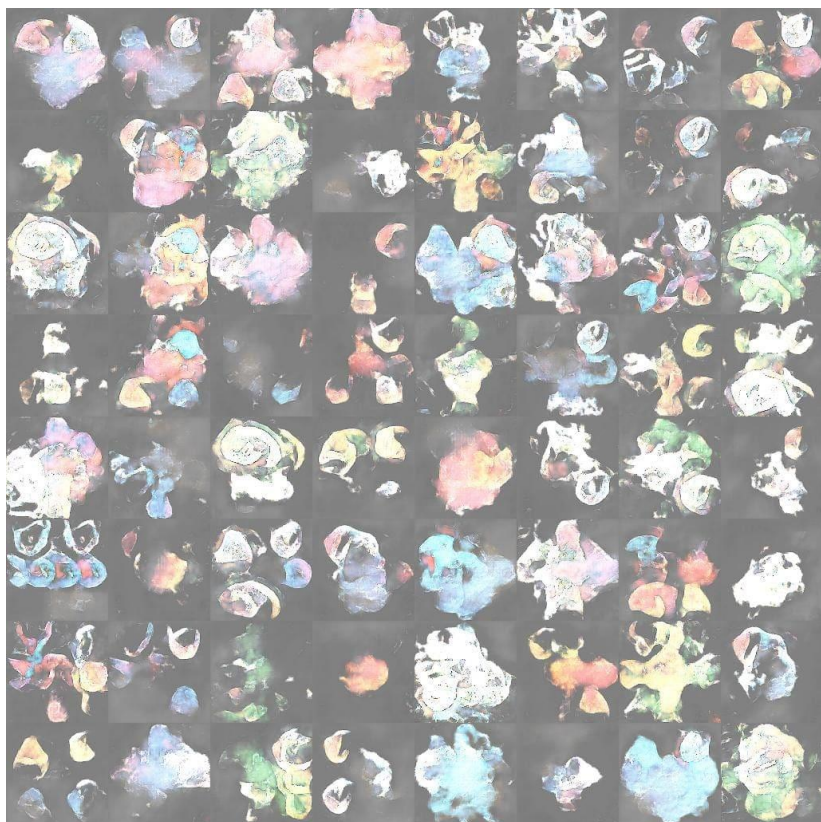
# Introduction







# Introduction



(a)

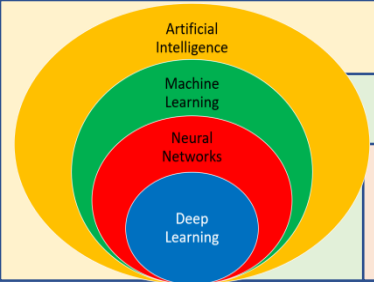
(b)



(c)

(d)





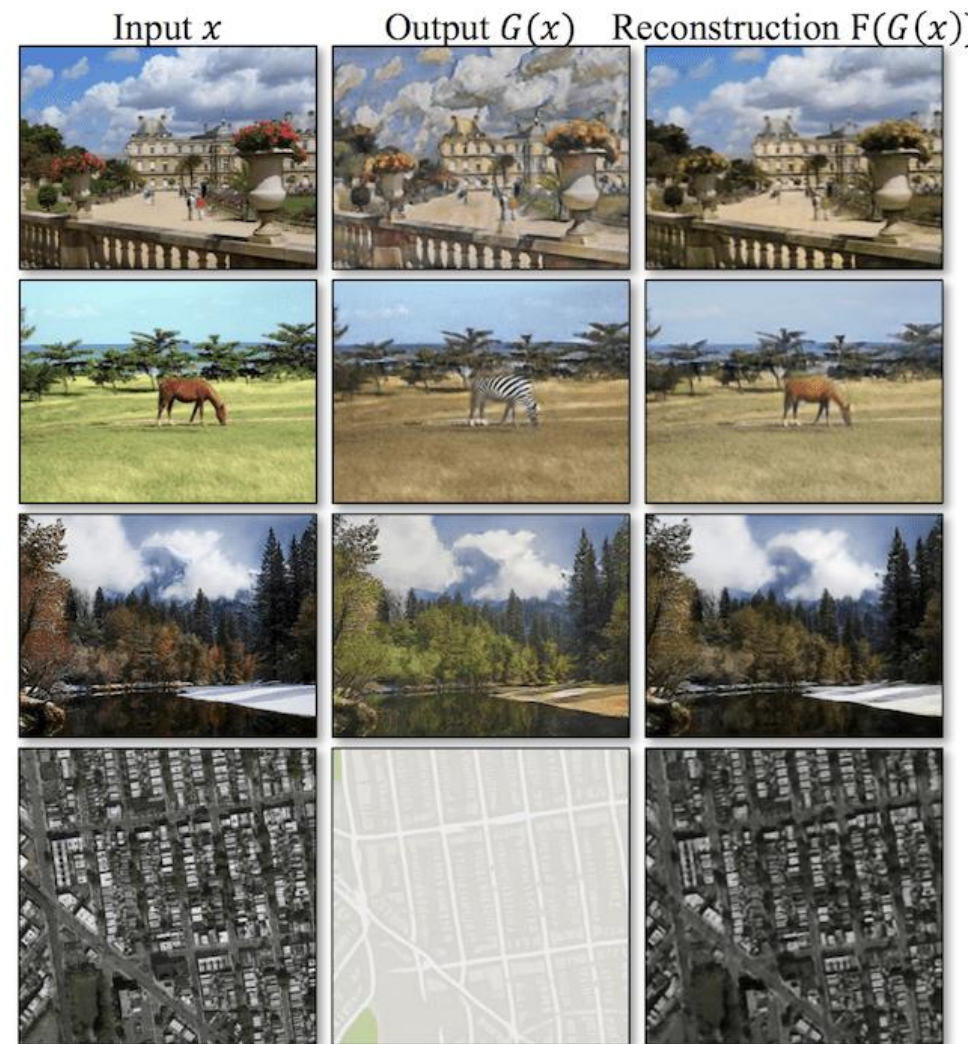
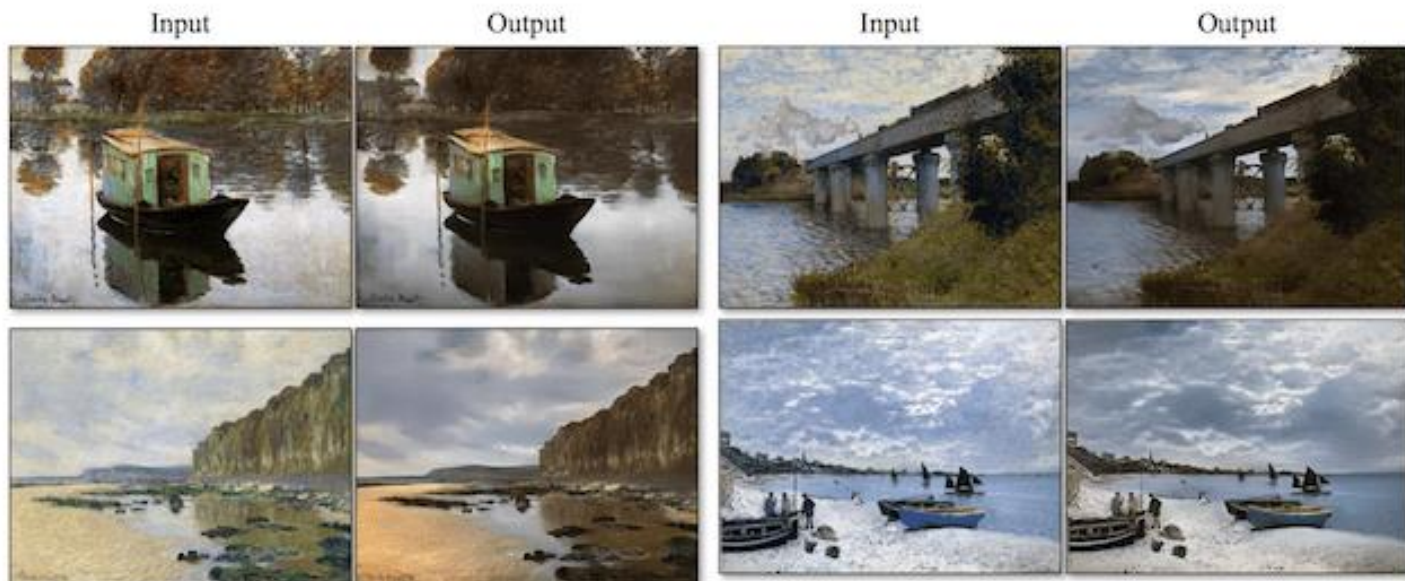
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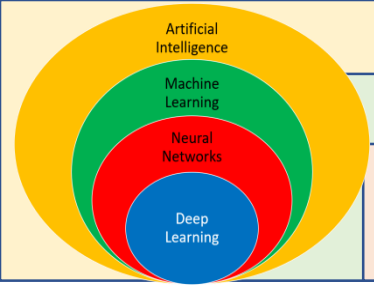
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# Introduction



The small bird has a red head with feathers that fade from red to gray from head to tail

Stage-I images



Stage-II images



This bird is black with green and has a very short beak

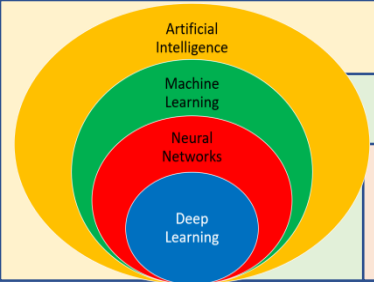
Stage-I images



Stage-II images







# Introduction



(a)



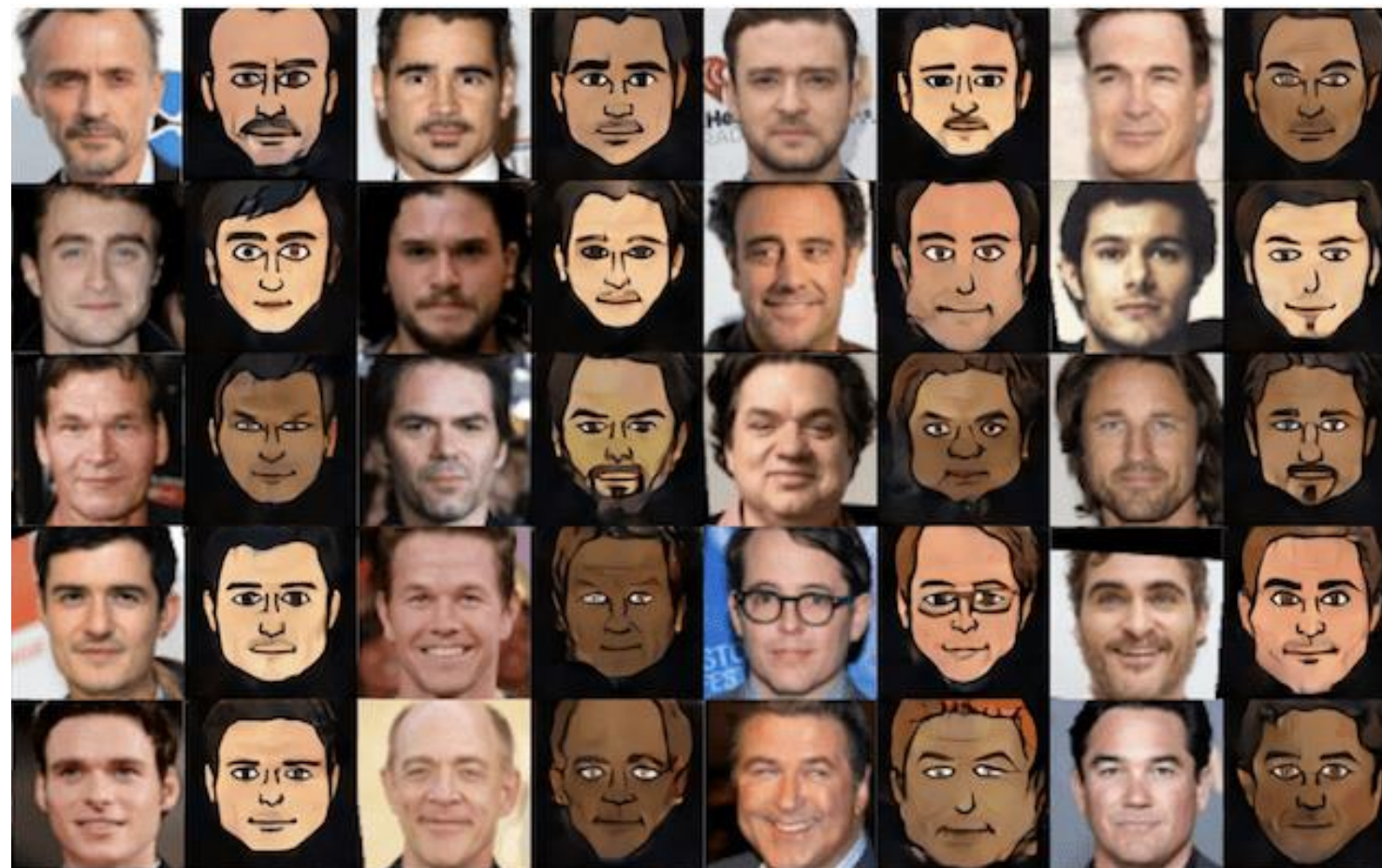
(b)

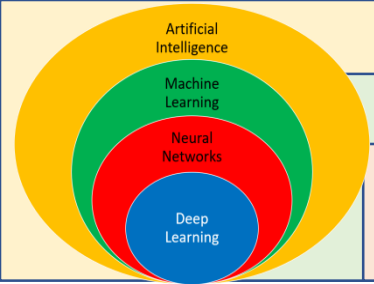


(c)



(d)





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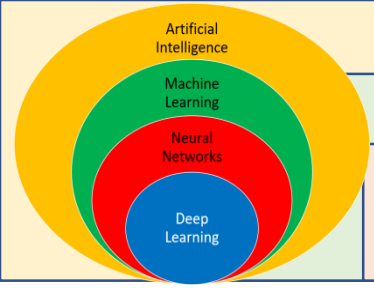
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## How do GANs work?

- Generative adversarial networks (GANs) are a generative model with implicit density estimation, part of unsupervised learning and are using two neural networks.
- Thus, we understand the terms “generative” and “networks” in “generative adversarial networks”.



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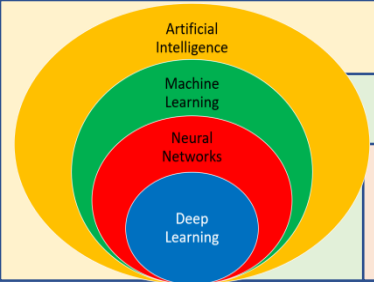
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## The principle: generator vs discriminator

- The principle is a **two-player game**: a neural network called the **generator** and a neural network called the **discriminator**.
- The generator tries to **fool** the discriminator by generating real-looking images while the discriminator tries to **distinguish** between real and fake images.
- At the bottom left of Figure 2, we can see that our generator **samples** from a simple distribution: random noise.
- The generator can be interpreted as an **artist** and the discriminator as an art **critic**. (See Figure 3)





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## The principle: generator vs discriminator

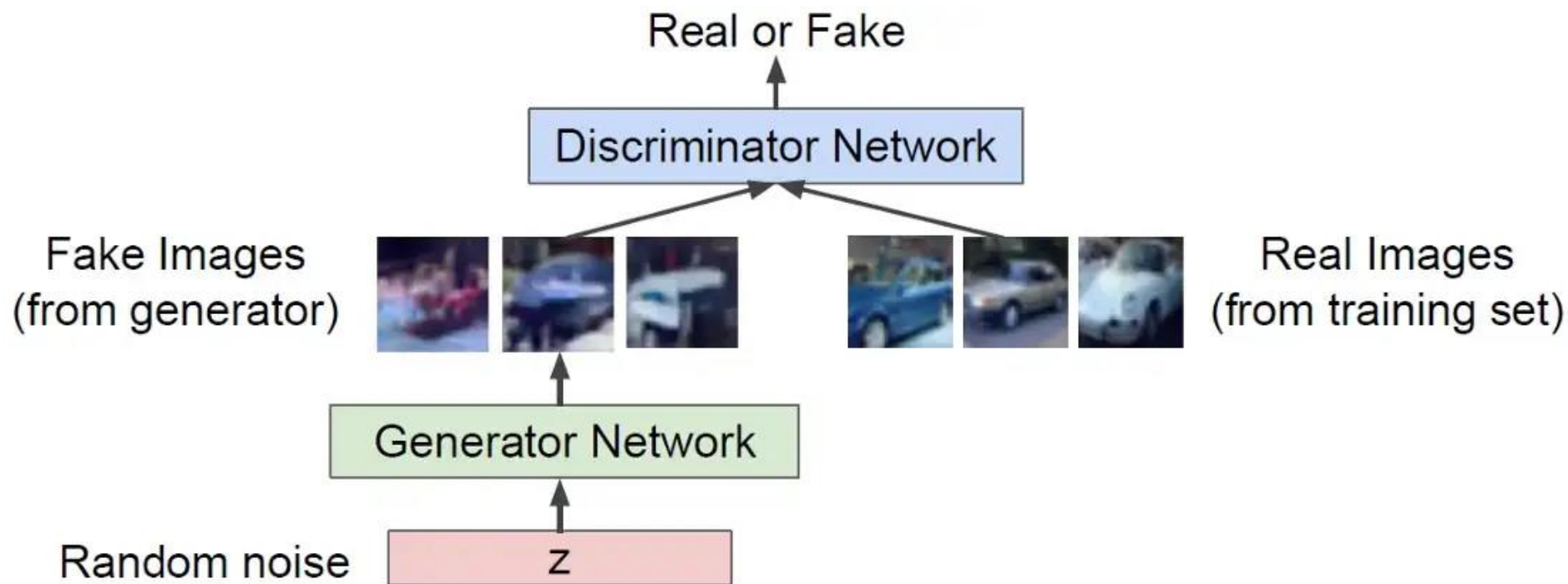
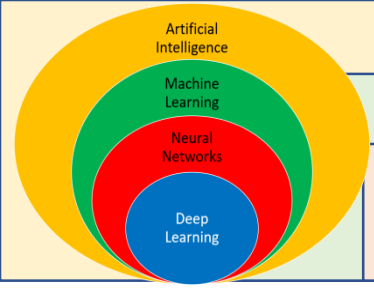


Figure 2



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## The principle: generator vs discriminator

Figure 3

**GENERATOR**  
"The Artist"  
A neural network trying to create pictures of cats that look real.



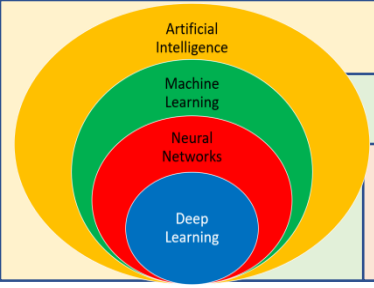
**DISCRIMINATOR**  
"The Art Critic"  
A neural network examining cat pictures to determine if they're real or fake.



Thousands of real-world images labeled "CAT"







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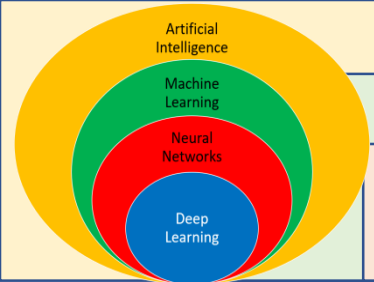
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## The principle: generator vs discriminator

- During training, the **generator** progressively becomes **better** at creating images that look real, while the **discriminator** becomes **better** at telling them apart.
- The process reaches **equilibrium** when the discriminator can no longer distinguish real from fake images. See Figure 4.
- Thus, if the discriminator is well trained and the generator manages to generate real-looking images that fool the discriminator, then we have a good generative model:

**We are generating images that look like the training set!**



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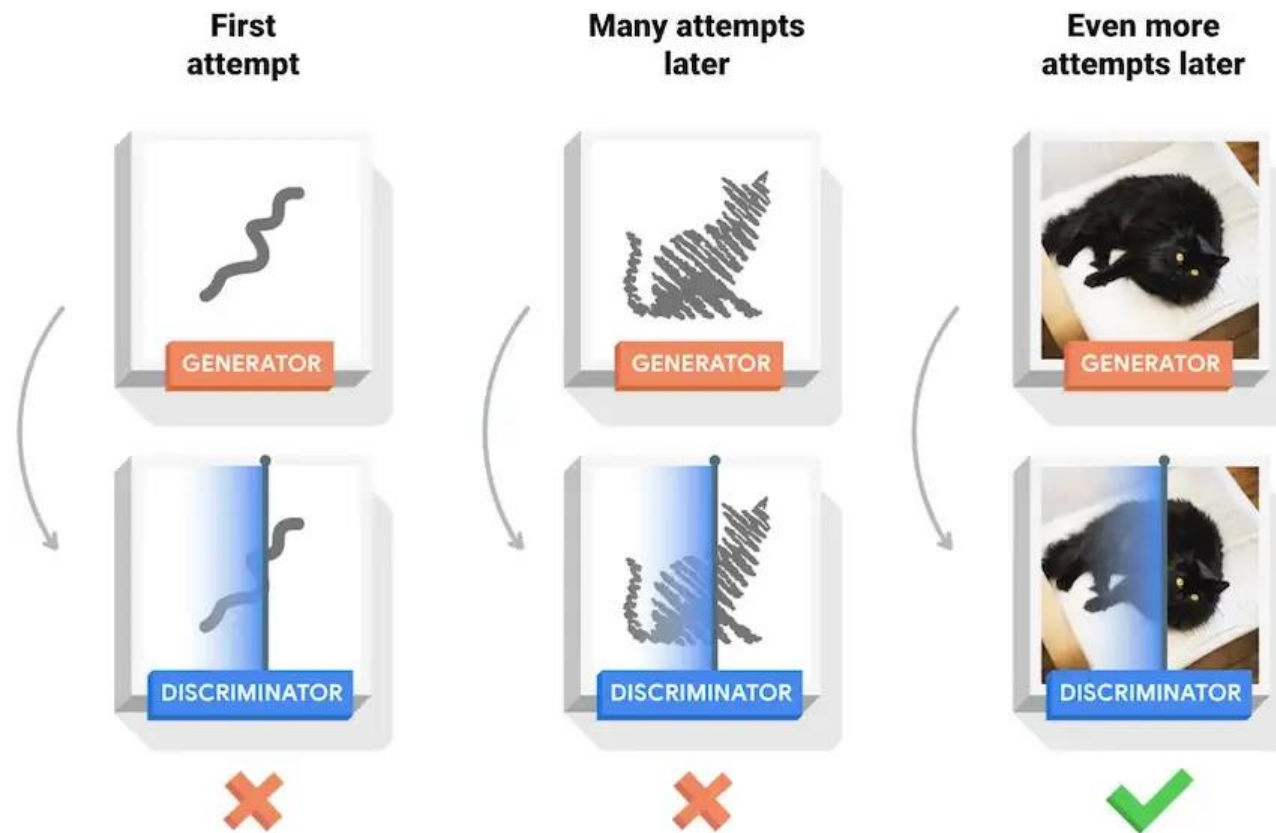
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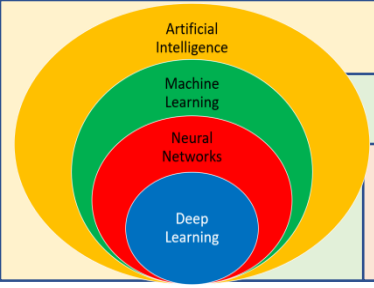
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## The principle: generator vs discriminator

Figure 4







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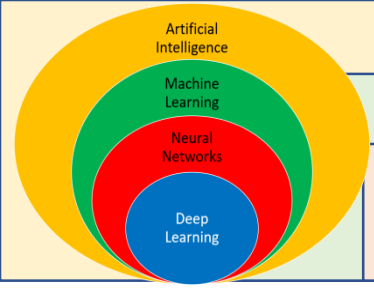
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## The principle: generator vs discriminator

- After this training phase, we **only** need the **generator** to sample new (false) realistic data.
- We no longer need the discriminator.
- Note that the random noise guarantees that the generator does not always produce the same image (which can fool the discriminator).
- Note that at the beginning of the training, the generator only generates a **random noise** that does not look like the training data.



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## Mathematically: The two-player minimax game

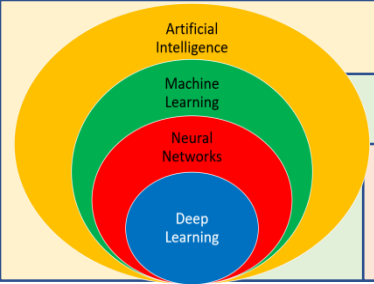
- The generator  $G$  and the discriminator  $D$  are **jointly trained** in a two-player minimax game formulation.
- The minimax objective function is:

$$\min_{\theta_g} \max_{\theta_d} \left[ \mathbb{E}_{x \sim p_{\text{data}}} \log D_{\theta_d}(x) + \mathbb{E}_{z \sim p(z)} \log \left( 1 - D_{\theta_d} \left( G_{\theta_g}(z) \right) \right) \right]$$

parameters of  $G$

parameters of  $D$





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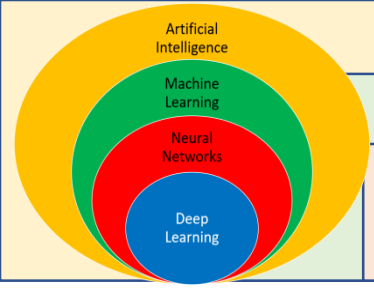
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## Mathematically: The two-player minimax game

- By definition,  $D$  outputs the **likelihood** of real image in interval  $[0, 1]$ :
  - •  $D(x)$  equals 1 (or is close to 1) if  $D$  considers that  $x$  is a real data,
  - •  $D(x)$  equals 0 (or is close to 0) if  $D$  considers that  $x$  is a fake data (e.g. a generated data).
- 
- We can prove that, at the equilibrium,  $D$  outputs  $1/2$  everywhere because  $D$  has no idea how to distinguish fake generated data from real data.



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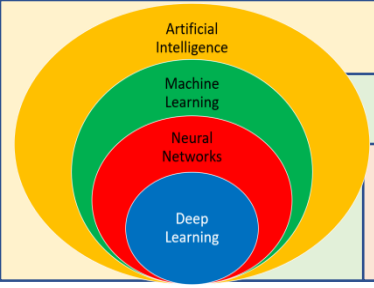
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## Mathematically: The two-player minimax game

- Because  $x \sim p_{data}$ ,  $x$  is a real data.
- By definition of  $G$ ,  $G(z)$  is a fake generated data.
- For example,  $x$  would be a real-life image of a cat and  $G(z)$  would be a fake generated image of a cat.
- Thus,  $D(x)$  is the output of the discriminator for a real input  $x$  and  $D(G(z))$  is the output of the discriminator for a fake generated data  $G(z)$ .





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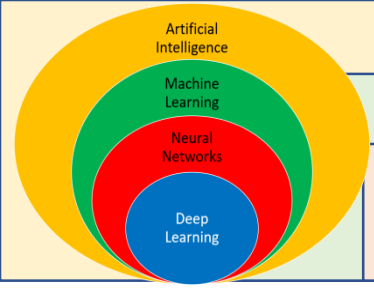
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## Mathematically: The two-player minimax game

- The two-player minimax game from Equation (1) was written such that:
- The discriminator  $D$  tries to distinguish between real data  $x$  and fake data  $G(z)$ .
- More precisely, the discriminator  $D$  plays with  $\theta_d$  ( $\theta_g$  being fixed) to **maximize** the objective function such that  $D(x)$  is close to 1 ( $x$  being real data) and such that  $D(G(z))$  is close to 0 (a generated data is detected as false).



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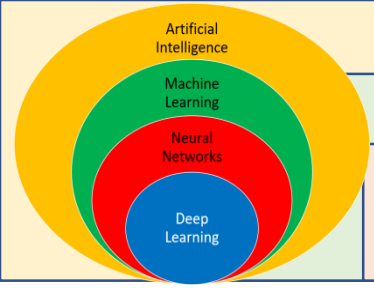
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## Mathematically: The two-player minimax game

- The generator  $G$  tries to **fool** the discriminator  $D$  into thinking that its fake generated data is real.
- More precisely, the generator  $G$  plays with  $\theta_g$  ( $\theta_d$  being fixed) to **minimize** the objective function such that  $D(G(z))$  is close to 1 (a false generated data is detected as true by the discriminator).



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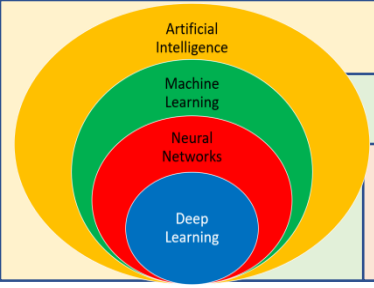
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## Mathematically: The two-player minimax game

- Although we are in **unsupervised learning** (the data is not labeled), we choose that the data generated by G has a 0 label for false (regardless of what the discriminator returns) and the real learning data has a 1 label for true. We can thus define a loss function.





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## Why are GANs so interesting?

- Generative models have several very useful **applications**: colorization, super-resolution, generation of artworks, etc. In general, the advantage of using a simulated model over the real model is that the computation can be faster.

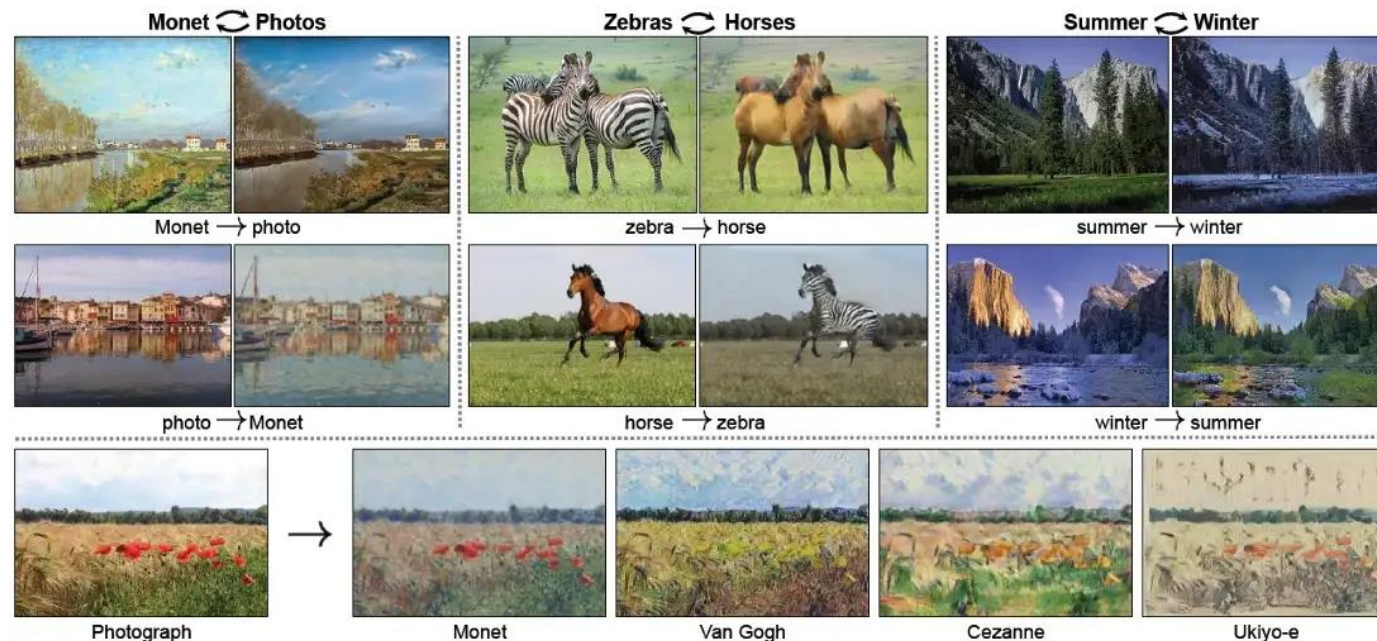
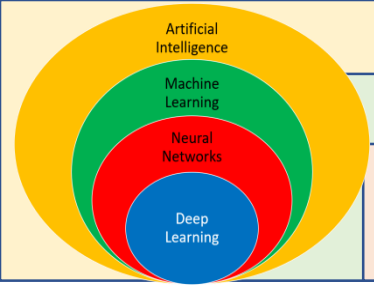


Figure 5



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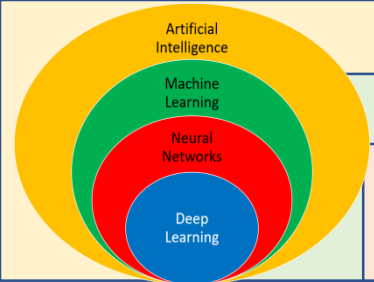
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## Why are GANs so interesting?

- One example is given Figure 5.
- These real images are transposed into realistic fictional images – or vice versa – with the **CycleGAN** developed by researchers at the University of Berkeley.
- The concept, called **image-to-image translation**, is a class of vision and graphics problems where the goal is to learn the mapping between an input image and an output image using a training set of aligned image pairs.



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## Why are GANs so interesting?

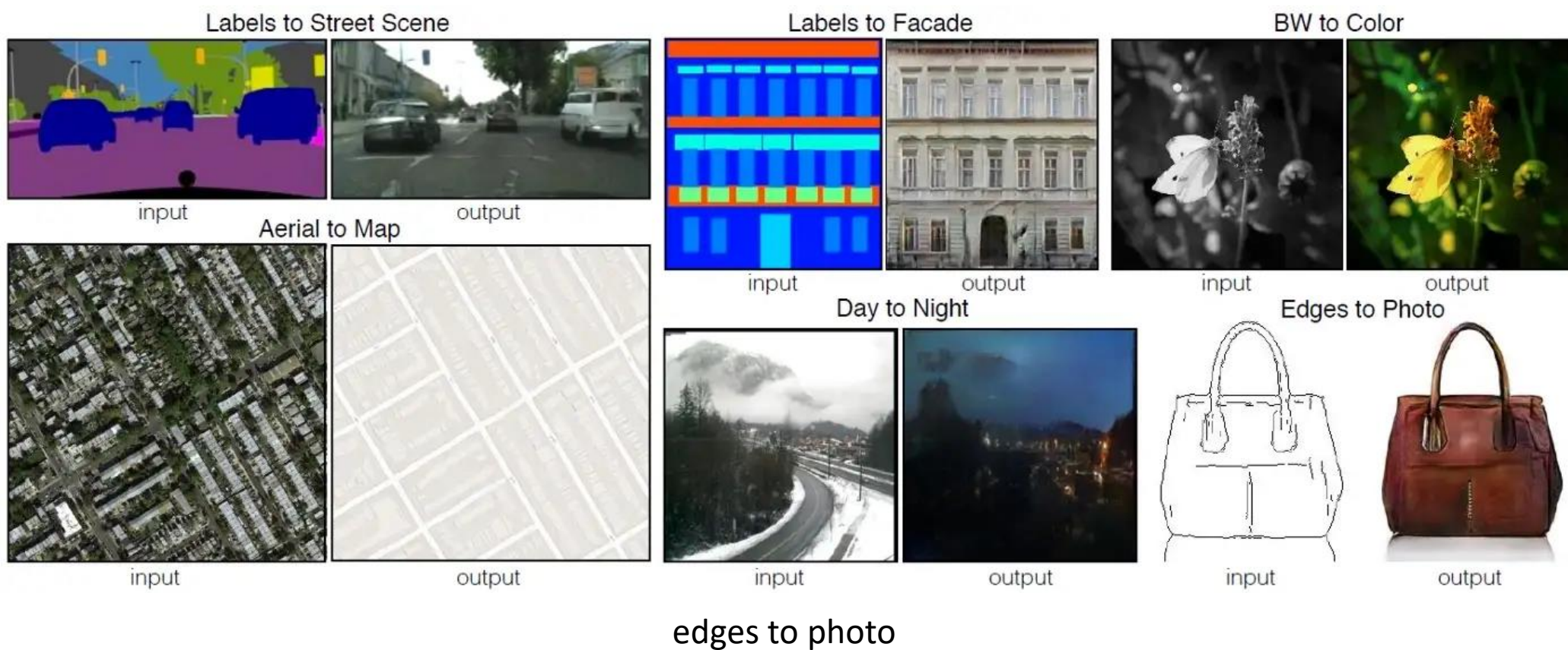
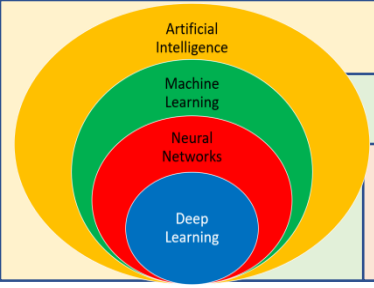


Figure 6

edges to photo





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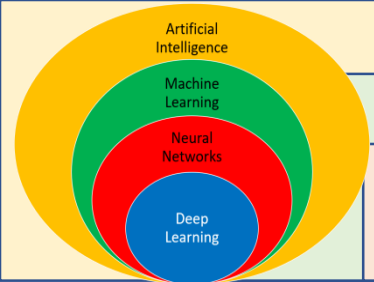
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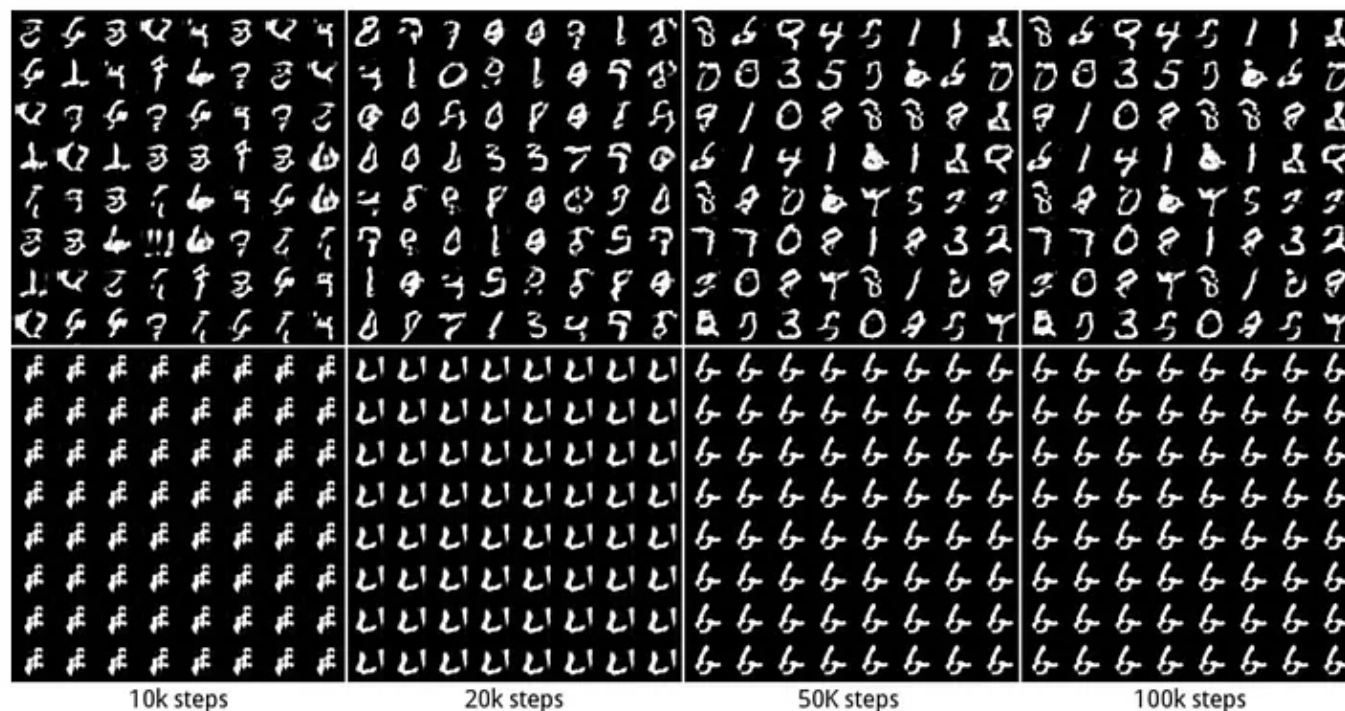
## GAN Problems

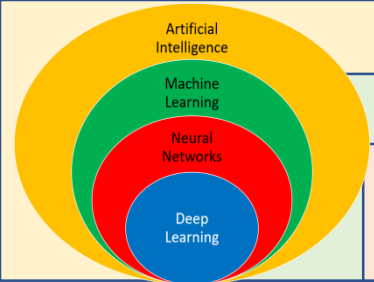
- Many GAN models suffer the following major problems:
  - **Non-convergence**: The model parameters oscillate, destabilize and never converge,
  - **Mode collapse**: The generator collapses which produces limited varieties of samples,
  - **Diminished gradient**: The discriminator gets too successful that the generator gradient vanishes and learns nothing,
  - **Unbalance** between the generator and discriminator causing **overfitting**,
  - Highly **sensitive** to the hyperparameter selections.



## Mode

- Real-life data distributions are **multimodal**.
- For example, in MNIST, there are 10 major modes from digit '0' to digit '9'. The samples below are generated by two different GANs.
- The top row produces all 10 modes while the second row creates a single mode only (the digit "6").
- This problem is called **mode collapse** when only a few modes of data are generated.





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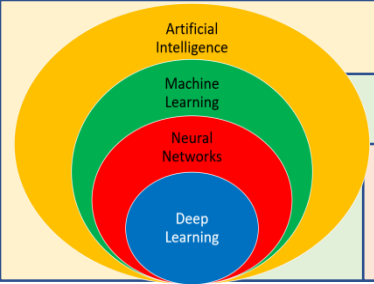
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## Nash equilibrium

- GAN is based on the **zero-sum non-cooperative game**.
- In short, if one wins the other loses.
- A zero-sum game is also called minimax. Your opponent wants to **maximize** its actions and your actions are to **minimize** them.
- In game theory, the GAN model **converges** when the discriminator and the generator reach a **Nash equilibrium**.
- This is the optimal point for the minimax equation below.

$$\min_G \max_D V(D, G) = \mathbb{E}_{x \sim p_r(x)} [\log D(x)] + \mathbb{E}_{z \sim p_z(z)} [\log(1 - D(G(z)))]$$





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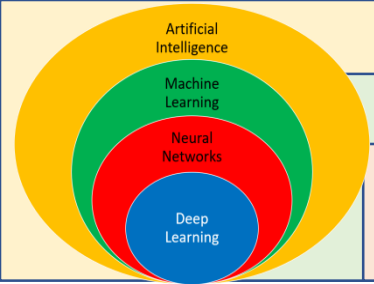
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## Nash equilibrium

- The Nash equilibrium refers to a scenario in which **there exists no motivation for players to stray from their initial strategy alone.**
- Consider two player A and B which control the value of  $x$  and  $y$ , respectively.
- Player A wants to **maximize** the value  $xy$  while B wants to **minimize** it.

$$\min_B \max_A V(D, G) = xy$$

- The **Nash equilibrium is  $x=y=0$** . This is the state where the change of mind of a single player will not improve the result. Let's see whether we can find the Nash equilibrium easily using gradient descent.



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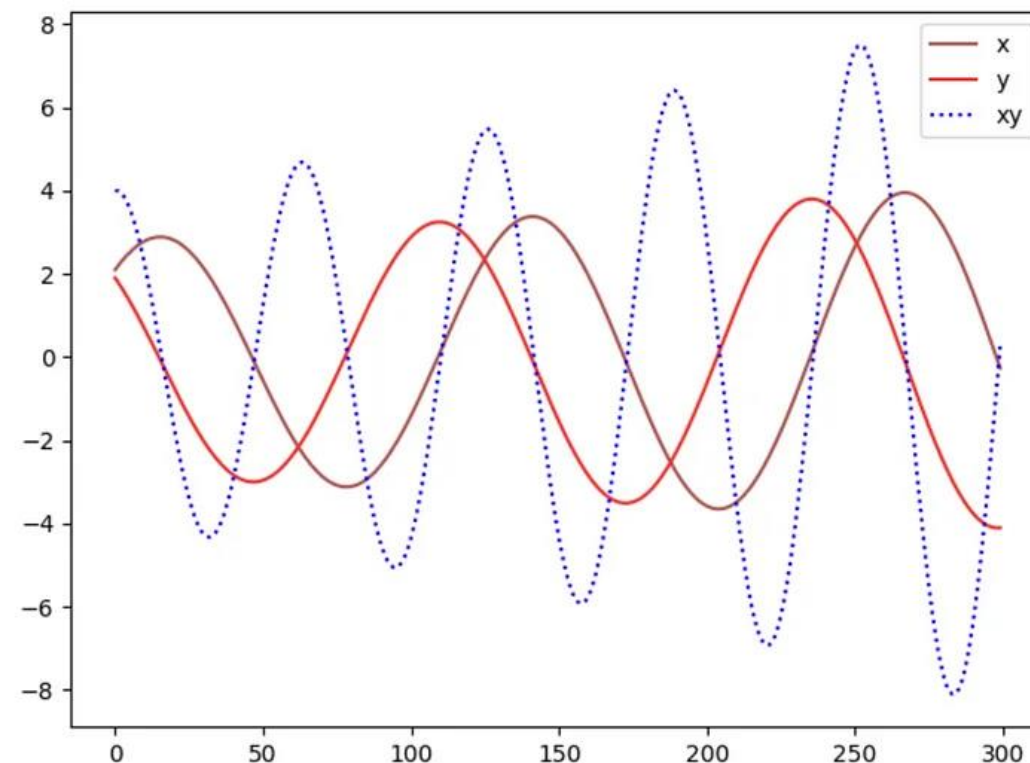
## Nash equilibrium

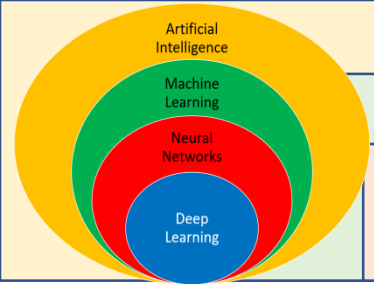
- We update the parameters  $x$  and  $y$  based on the gradient of the value function  $V$  ( $\alpha$  is the learning rate).

$$\Delta x = \alpha \frac{\partial(xy)}{\partial(x)}$$

$$\Delta y = -\alpha \frac{\partial(xy)}{\partial(y)}$$

- When we plot  $x$ ,  $y$ , and  $xy$  against the training iterations, we realize our solution does not converge.





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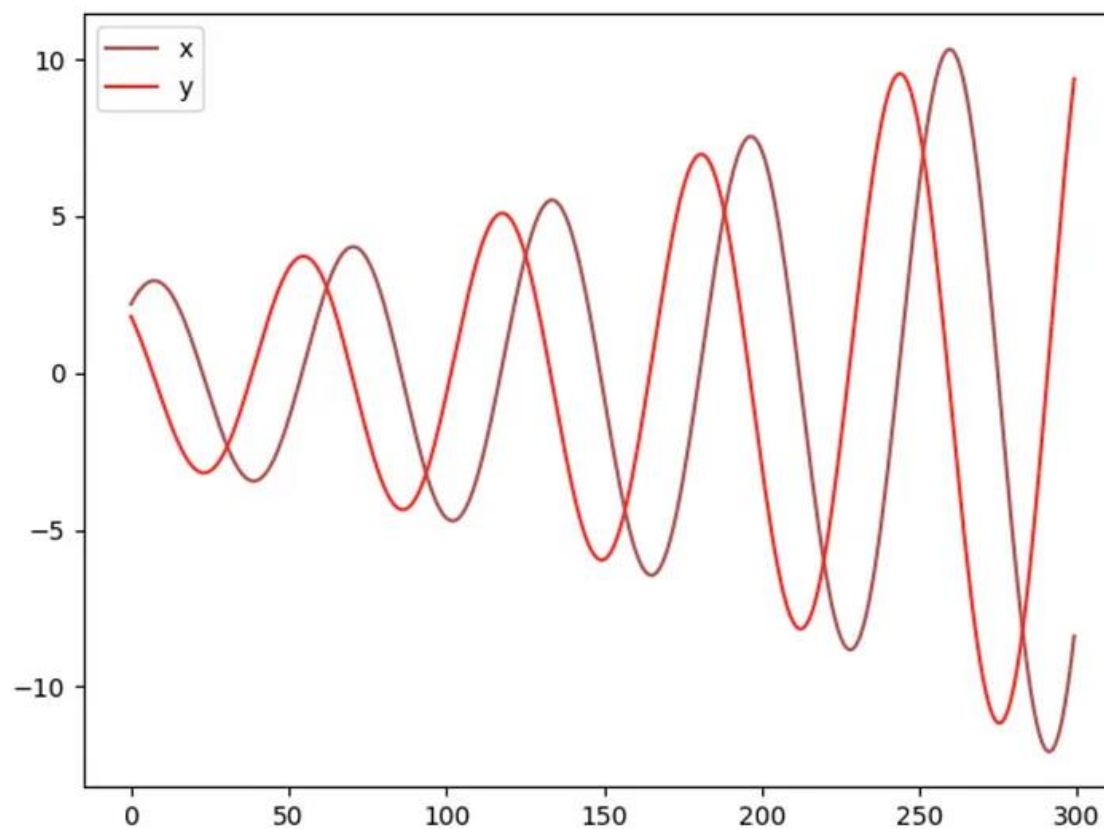
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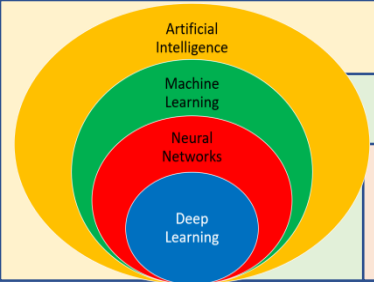
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## Nash equilibrium

- If we **increase the learning rate** or train the model longer, we can see the parameters  $x, y$  is **unstable** with big swings.







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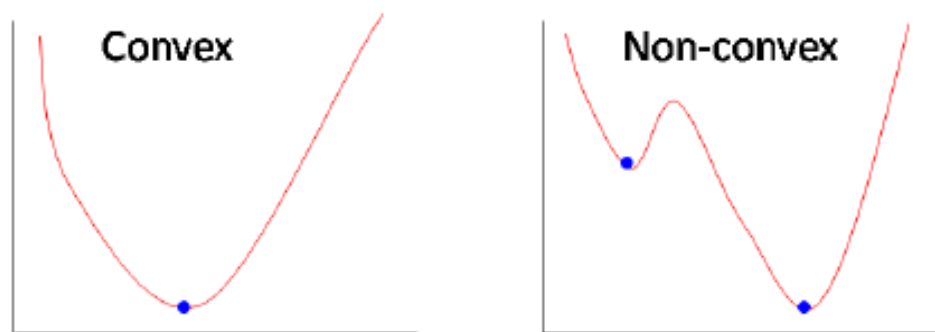
Machine Learning (ML)

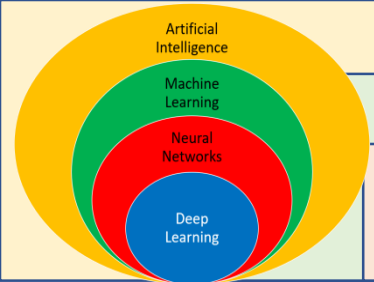
Neural Networks (NNs)

Deep Learning (DL)

## Nash equilibrium

- Our example is an excellent showcase that **some cost functions will not converge with gradient descent**, in particular for a non-convex game.
- We can also view this issue in an intuitive way: your opponent always countermeasures your actions which makes the models harder to converge.
- **Cost functions may not converge using gradient descent in a minimax game.**





Artificial Intelligence (AI)

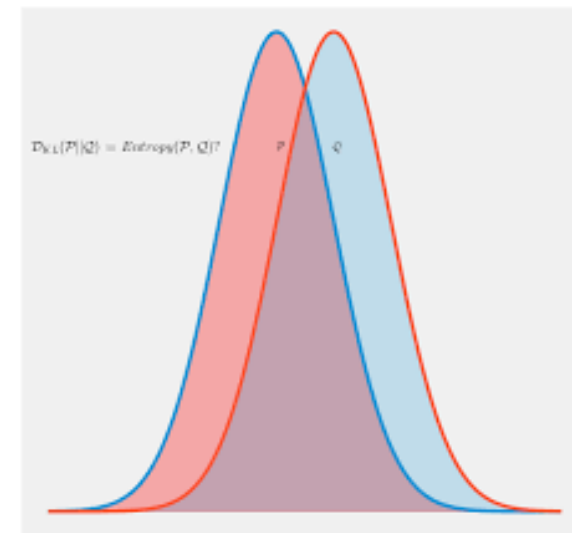
Machine Learning (ML)

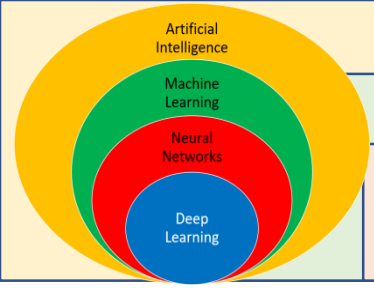
Neural Networks (NNs)

Deep Learning (DL)

## Generative model with KL-Divergence

- Our example is an excellent showcase that **some cost functions will not converge with gradient descent**, in particular for a non-convex game.
- We can also view this issue in an intuitive way: your opponent always countermeasures your actions which makes the models harder to converge.
- Cost functions may not converge using gradient descent in a minimax game.





Artificial Intelligence (AI)

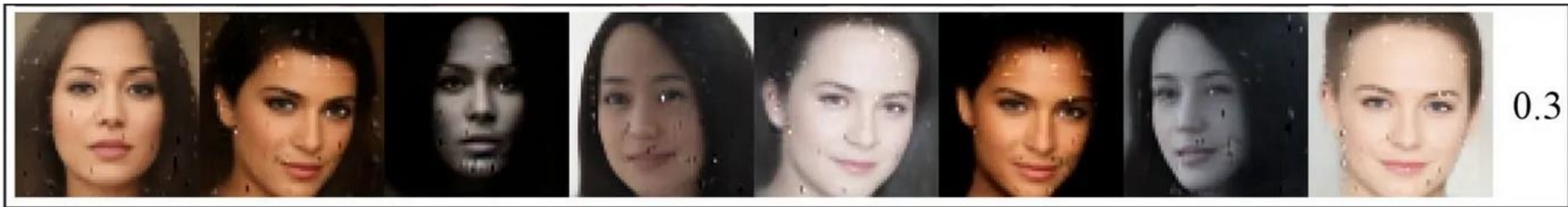
Machine Learning (ML)

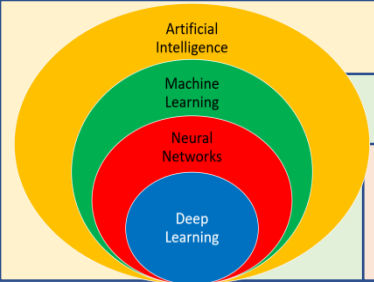
Neural Networks (NNs)

Deep Learning (DL)

## Why mode collapse in GAN?

- Mode collapse is one of the **hardest problems** to solve in GAN.
- A complete collapse is not common but a partial collapse happens often.
- The images below with the same underlined color look similar and the mode starts collapsing.





Artificial Intelligence (AI)

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## Why mode collapse in GAN?

- Let's see how it may occur. The objective of the GAN generator is to **create images that can fool the discriminator D the most**.

$$\nabla_{\theta_g} \frac{1}{m} \sum_{i=1}^m \log \left( 1 - D \left( G \left( z^{(i)} \right) \right) \right)$$

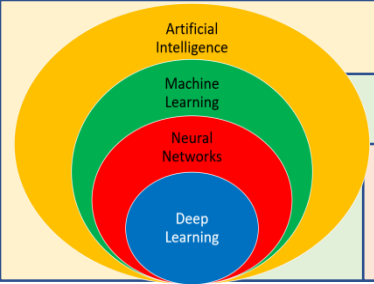
- But let's consider one extreme case where G is trained extensively without updates to D. The generated images will converge to find the optimal image  $x^*$  that fool D the most, the most realistic image from the discriminator perspective. In this extreme,  $x^*$  will be independent of  $z$ .

$$x^* = \operatorname{argmax}_x D(x)$$

- This is bad news. The mode collapses to a **single point**. The gradient associated with  $z$  approaches zero.

$$\frac{\partial J}{\partial z} \approx 0$$





Artificial Intelligence (AI)

Machine Learning (ML)

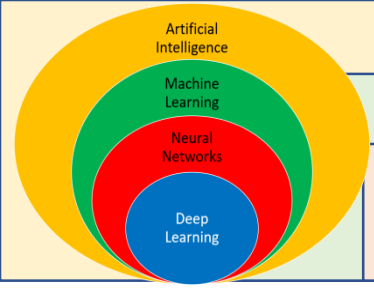
Neural Networks (NNs)

Deep Learning (DL)

## Why mode collapse in GAN?

- But **mode collapse is not all bad news**. In style transfer using GAN, we are happy to convert one image to just a good one, rather than finding all variants. Indeed, the specialization in the partial mode collapse sometimes creates **higher quality images**.
- But mode collapse remains **one of the most important issues** to be solved for GAN.





Artificial Intelligence (AI)

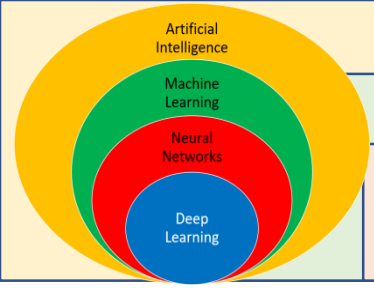
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## Hyperparameters & training

- No cost functions will work without **good hyperparameters** and tune them takes time and a lot of patience.
- New cost functions may introduce hyperparameter(s) that has **sensitive performance**.
- Hyperparameter tuning needs **patience**. No cost functions will work without spending time on the hyperparameter tuning.



Artificial Intelligence (AI)

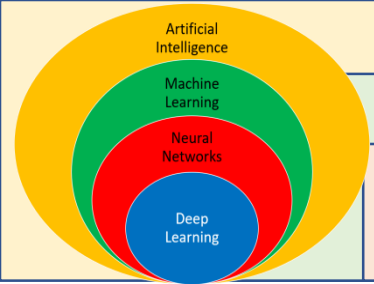
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## Balance between the discriminator and generator

- The **non-convergence** and **mode collapse** are often explained as an **imbalance** between the discriminator and the generator.
- The obvious solution is to **balance** their training to avoid overfitting.
- However, very few progress has made but not because of the lack of trying.
- Some researchers believe that **this is not a feasible or desirable goal** since a good discriminator gives good feedback.
- Some of the attention is therefore shifted for **cost functions with non-vanishing gradients** instead.



Artificial Intelligence (AI)

Machine Learning (ML)

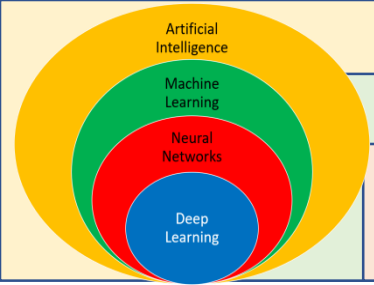
Neural Networks (NNs)

Deep Learning (DL)

## Cost v.s. Image quality

- In a **discriminative model**, the loss measures the **accuracy** of the prediction and we use it to monitor the progress of the training.
- However, the loss in GAN measures **how well we are doing compared with our opponent**.
- Often, the **generator cost increases** but **the image quality is actually improving**.
- We fall back to examine the generated images **manually** to verify the progress.
- This makes model comparison **harder** which leads to difficulties in picking the best model in a single run. It also complicates the tuning process.





Artificial Intelligence (AI)

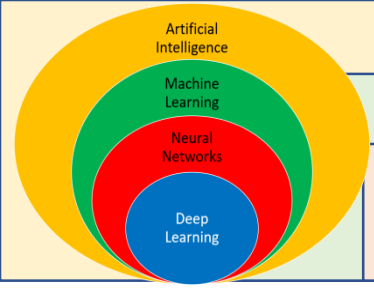
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## Conclusion

- GANs' applications have increased rapidly, in particular for **images**.
- GANs can be very **interesting** for companies.
- For example, GANs can generate realistic images of new **medical** images and image-to-image translation can help designers draw and be more creative.
- Moreover, GANs can be used for **data augmentation** when we only have one hundred images and we wish to have more.



Artificial Intelligence (AI)

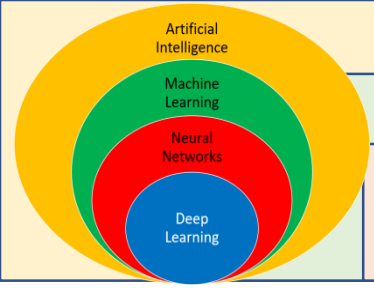
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## Conclusion

- GANs have also been developed for **binary outputs** (sick or not) or **discrete outputs** (rounded blood pressure, rounded weight...).
- Benefits from this new research on **tabular data** are **numerous**, in particular for **privacy** purposes.
- For example, instead of sending confidential data from Excel sheets, hospitals can send fake realistic data (that keeps the correlation between the features) to their partners.



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